

PTO/SB/08a (07-05) (TMB 07/2005)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)**Complete If Known**

|                      |                          |
|----------------------|--------------------------|
| Application Number   | 10/712,087               |
| Filing Date          | November 13, 2003        |
| First Named Inventor | Kazuhisa Yamamoto et al. |
| Art Unit             | 2828                     |
| Examiner Name        | Tod Thomas Van Roy       |
| Attorney Docket No.  | YAO-3750US3              |

SHEET 1 of 1

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|----------------------------------|--|---|
|                       |                          | Number - Kind Code <sup>2</sup> (if known) |                                  |  |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>(MM-DD-YYYY) | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup>           |
|-----------------------|--------------------------|---|----------------------------------|---|--|--------------------------|
|                       |                          | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                  |   |  |                          |
| TVR                   |                          | JP 06-011750 (w/English Abstract)   | 01/21/1994                       | PHILIPS ELECTRON<br>NV                                |  | <input type="checkbox"/> |
|                       |                          | JP 06-194708 (w/English Abstract)   | 07/15/1994                       | OKI ELECTRIC IND<br>DO LTD                            |  | <input type="checkbox"/> |
|                       |                          | JP 05-249521 (w/English Abstract)   | 09/28/1993                       | HITACHI LTD   |  | <input type="checkbox"/> |
|                       |                          | JP 05-034611 (w/English Abstract)   | 02/12/1993                       | FUJI PHOTO FILM CO<br>LTD                             |  | <input type="checkbox"/> |
|                       |                          | JP 58-044785 (w/English Abstract)   | 03/15/1983                       | KOKUSAI DENSHIN<br>DENWA CO LTD                       |  | <input type="checkbox"/> |
|                       |                          | JP 07-099360 (w/English Abstract)   | 04/11/1995                       | MATSUSHITA<br>ELECTRIC IND CO<br>LTD                  |  | <input type="checkbox"/> |
|                       |                          | JP 06-045669 (w/English Abstract)   | 02/18/1994                       | NEC CORP  |  | <input type="checkbox"/> |
|                       |                          | JP 05-232605 (w/English Abstract)   | 09/10/1993                       | FUJI PHOTO FILM CO<br>LTD                             |  | <input type="checkbox"/> |
|                       |                          | JP 06-258597 (w/English Abstract)   | 09/16/1994                       | SHARP CORP  |  | <input type="checkbox"/> |
|                       |                          | JP 06-308408 (w/English Abstract)   | 11/04/1994                       | OLYMPUS OPTICAL<br>CO LTD                             |  | <input type="checkbox"/> |

Examiner  
Signature

/Tod Van Roy/ (01/08/2007)

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard SL3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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YAO-3750US3



PATENT

1FW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 10/712,087  
Applicant: Kazuhisa Yamamoto, et al.  
Filed: November 13, 2003  
Title: OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS AND  
METHOD OF PRODUCING OPTICAL DEVICE  
TC/A.U.: 2828  
Examiner: Tod Thomas Van Roy  
Confirmation No.: 7923  
Docket No.: YAO-3750US3

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on PTO/SB/08a submitted herewith. A copy of each of the references listed on PTO/SB/08a is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

08/10/2006 RHEBRAHT 00000015 10712087

01 FC:1806

180.00 OP

The following references are not in the English language:

- 1) JP 06-011750
- 2) JP 06-194708
- 3) JP 05-249521
- 4) JP 05-034611
- 5) JP 58-044785
- 6) JP 07-099360
- 7) JP 06-045669
- 8) JP 05-232605
- 9) JP 06-258597
- 10) JP 06-308408

References 1) to 10) include English language abstracts.

References JP 06-273814 and JP 05-232536 were previously cited in a Supplemental Information Disclosure Statement dated November 13, 2003.

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

The undersigned hereby states that each item of information contained in the Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

The required fee set forth in 37 C.F.R. § 1.17(p) is provided herewith.

Respectfully submitted,

  
Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

LEA/ds

Enclosures: PTO Form SB/08  
(10) References  
Transmittal Form  
Fee Transmittal  
USPTO Credit Card Payment Form

Dated: August 7, 2006

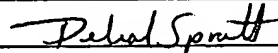
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